

Faculty of Law

Postgraduate Diploma in Legal Principles Compliance

Non-subsidised Programmes (NSP)



FACULTY OF LAW



**Our Future
Reimagined**

Introduction

The University of Johannesburg's Postgraduate Diploma in Legal Principles Compliance consists of modules that were offered previously by the Rand Afrikaans University and modules that were specifically developed for the postgraduate programme.

The Postgraduate Diploma consists of four modules that are presented at a postgraduate level:

Module 1: Money Laundering Control

Module 2: Compliance Management

Module 3: Board Governance

Module 4: Basic Interpretation Theory (BIT)

All four modules are presented in block tuition format to enable students who are based outside Gauteng to participate fully. Lectures are normally presented in a hybrid format at the Auckland Park Kingsway campus of the University. Please note that attendance of lectures is compulsory.

Admission Requirements

A bachelor's degree pitched at NQF Level 7 or an equivalent qualification.

Programme Structure

In order to register for the final module (BIT), a student would also need to have completed modules 1, 2 and 3. These three modules do not have to be completed in any order. Students may also register for modules 1, 2 and 3 as stand-alone Short Learning Programmes (SLP) if they do not wish to complete the Postgraduate Diploma programme. Students who have completed all three standalone programmes and comply with the admission requirements for the Postgraduate Diploma in Legal Principles Compliance may register for Module 4. The Postgraduate Diploma in Legal Principles Compliance will be awarded upon successful completion of Module 4.

The Modules

Please note that the following information, especially dates of programmes, is subject to change.

Module 1: Money Laundering Control

This programme, which focuses on POCA, FICA, PRECCA and POCDATARA, is only offered once a year.

- The programme will be presented from **March 2025**.
- The 3-day compulsory workshop is scheduled for **March 2025**.

Module 2: Compliance Management

This programme introduces students to the main aspects of the compliance function. It equips compliance professionals in the financial services industry with basic technical skills and knowledge to achieve the objectives of the compliance function.

- The programme will be presented from **June 2025**.
- The 3-day compulsory workshop is scheduled for **June 2025**.

Module 3: Board Governance

This programme is aimed at those who require a more intimate and advanced knowledge and understanding of the relevant compliance involved and the impact of corporate governance on directors and the board. It focuses on directors' duties and will position the board and the director in the international context. The South African corporate governance model is compared with the German, US, UK and other relevant models. This programme is aimed at the governance specialist.

- The programme will be presented from **March 2025**.
- The 3-day compulsory workshop is scheduled for **March 2025**.

Module 4: Basic Interpretation Theory (BIT)

This module is the exit module of the Postgraduate Diploma in Legal Principles Compliance and focuses on the principles relating to the interpretation of laws. These principles changed after 1994 and those who must read and interpret laws must understand these principles and be able to apply them correctly. The module will focus on aspects of laws that are particularly important from a compliance perspective.

- The programme will be presented from **May 2025**.
- The 3-day compulsory workshop is scheduled for **May 2025**.

NB: All modules are supported with online learning materials on the Moodle learning platform.

Assessments

Students will submit one assignment and a final examination will be written during October/November.

Application Dates

Applications open from **31 August 2024** and generally close two to three weeks before the start of each Short Learning Programme.

Online Application

TO MAKE AN ONLINE APPLICATION (No application fee is payable)

- The Course Code is: **E4COMQ**
- The Mode of Study is VB – "APK Continuing Education Programmes Part-Time".
- For a quicker and easier application process for first-time (new) applicants use the link below:

Short Web Application

https://registration.uj.ac.za/pls/prodi41/wuj012pkg.wuj012_startup

- The RETURNING student web link is:
https://registration.uj.ac.za/pls/prodi41/w99pkg.mi_login
- For both processes above the "token" that must be used by applicants is **LAWSLP**.

- Password/Pin reset: If you have previously created a password/pin, you will be prompted to login with that password/pin. You can click on the “Forgot Password/Pin” button if you cannot remember your password/pin and follow the instructions to reset your password/pin.

For assistance regarding Applications please contact the University call centre on (+27) 11 559 4555 or at mylife@uj.ac.za

Programme Fees

Fees for 2024	% increase for 2025
R17 280.00	Generally, between 5-10%

A full upfront payment of the course fees per SLP is required upon registration. It is advised that students start making payments towards their fees by depositing money into their student account on a monthly basis upon being admitted for the programme. The course fee includes the cost of the textbook.

The UJ registration levy and ICT levy is not refundable if registration is canceled: R1 150.00

Non-refundable International Levy - SADC students: R1 000.00

Contact your course coordinator for final course fees.

Please be aware that SADC students also pay an international levy. SADC/International students must also contact the International Office of UJ on 011 559 4399 or **Email:** international1@uj.ac.za prior to registration.

Students whose fees are paid by a bursary sponsor or employer must request for a tax invoice from the NSP financial officer, Mr Isaac Moagi – imoagi@uj.ac.za

Students who do not pay the full fees will not be Permitted to register and thus will not be able to attend classes.

Administrative Enquiries

More details on the programme are available from the Faculty of Law: NSP. Please contact the course coordinator: Ms Busi Mpofu, **Tel:** 011 559 3982 or **Email:** busim@uj.ac.za

General

The centre is often approached by students who ask permission to enroll for more than one module simultaneously:

- Part-time students are advised **NOT** to enroll for more than one module simultaneously as the modules are designed to be completed in the prescribed period and the student will find it very difficult to balance their studies and work.