

# Faculty of Law

## Postgraduate Diploma in Legal Principles Compliance

Centre for Continuing Education Programmes



FACULTY OF LAW



## Introduction

The University of Johannesburg's Postgraduate Diploma in Legal Principles Compliance (PGD) consists of modules that were offered previously by the Rand Afrikaans University and modules that were specifically developed for the postgraduate programme.

The Postgraduate Diploma consists of four modules that are presented at a postgraduate level:

**Module 1:** Money Laundering Control

**Module 2:** Certificate in Compliance Management

**Module 3:** Board Governance OR Corporate Governance (electives). You have to choose one and not both

**Module 4:** Basic Interpretation Theory (BIT)

All four modules are presented in block tuition format to enable students who are based outside Gauteng to participate fully. Lectures are normally presented at the Auckland Park Kingsway Campus of the University. Please note that attendance of lectures is compulsory.

## Admission Requirements

In order to meet our administrative and regulatory requirements, a student needs to be in possession of a Bachelor's degree in Law or Commerce or an equivalent qualification on NQF Level 7.

## Programme Structure

In order to register for the final module (BIT), a student would also need to have completed Modules 1, 2 and 3. These three modules do not have to be completed in any particular order. Students may also register for Modules 1, 2 and 3 as stand-alone programmes

if they do not wish to complete the Diploma programme. Students who have completed standalone programmes and comply with the admission requirements for the PGD Compliance may register for Module 4. The Postgraduate Diploma will be awarded upon successful completion of the requirements for Module 4.

## The Modules

**Please note that the following information, especially dates of programmes, is subject to change.**

### Module 1: Money Laundering Control

This programme, which focuses on POCA, FICA, PRECCA and POCDATARA, is only offered once a year.

- The programme will be presented from **March 2024**.
- The compulsory workshop is scheduled for **March 2024**.

### Module 2: Compliance Management

This programme introduces students to the main aspects of the compliance function. It equips compliance professionals in the financial services industry with basic technical skills and knowledge to achieve the objectives of the compliance function.

- The programme will be presented from **June 2024**.
- The compulsory workshop is scheduled for **June 2024**.

Please contact our offices to confirm the course fees.

### Module 3: Elective 1: Board Governance

This programme is aimed at those who require a more intimate and advanced knowledge and understanding of the relevant compliance involved and the impact of corporate governance on directors and the board. It focuses

on directors' duties and will position the board and the director in the international context. The South African corporate governance model is compared with the German, US, UK and other relevant models. This programme is aimed at the governance specialist.

- The programme will be presented from **May 2024**.
- The compulsory workshop is scheduled **May 2024**.

### **Module 3: Elective 2: Corporate Governance**

Presenters: Proff Alex van der Watt and Ben Marx (UJ) and, in conjunction with Ethics experts.

For any enquiries regarding the Corporate Governance programme, please visit: <https://www.uj.ac.za/faculties/cbe/soa/accounting/Documents/loDSA-CorporateGovernance.PDF>

### **Module 4: Basic Interpretation Theory (BIT)**

This module is the exit module of the PGD in Compliance and focuses on the principles relating to the interpretation of laws. These principles changed after 1994 and those who have to read and interpret laws must understand these principles and be able to apply them correctly. The module will focus on aspects of laws that are particularly important from a compliance perspective.

- The programme will be presented from **May 2024**.
- The compulsory workshop is scheduled for **May 2024**.

**NB:** Attendance of the three day workshop is compulsory.

### **Assessment and Outcome**

Students may sit for the examination in

designated locations in South Africa.

**NB:** Non-South African applicants should contact the Faculty for relevant legislative requirements

For any enquiries regarding the Corporate Governance programme, please visit: <https://www.uj.ac.za/faculties/cbe/soa/accounting/Documents/loDSA-CorporateGovernance.PDF>

### **Closing dates**

Applications open from 31 August 2023. Applications close generally two to three weeks before the start of each Short Learning Programme.

### **Online Application**

TO MAKE AN ONLINE APPLICATION (No Application Fee is payable)

- The Course Code is: **E4COMQ**
- The Mode of Study is DB – “APK Continuing Education Programmes Part-Time”.
- For a quicker and easier application process for first-time (new) applicants use the link below:  
Short Web Application  
[https://registration.uj.ac.za/pls/prodi41/wuj012pkg.wuj012\\_startup](https://registration.uj.ac.za/pls/prodi41/wuj012pkg.wuj012_startup)
- And the RETURNING student web link is:  
[https://registration.uj.ac.za/pls/prodi41/w99pkg.mi\\_login](https://registration.uj.ac.za/pls/prodi41/w99pkg.mi_login)
- For both processes above the “token” that must be used applicants is **LAWSLP**.

For assistance regarding Applications please contact the University call centre on (+27) 11 559 4555 or at [mylife@uj.ac.za](mailto:mylife@uj.ac.za)

### **Enquiries**

Ms Busi Mpofu: Tel: +27 11 559 3982, Email: [busim@uj.ac.za](mailto:busim@uj.ac.za)

We require all prospective students to timeously register for the programme so as to avoid unnecessary pressure being placed on our administrative personnel.

Please also note that a place in the programme is only secured on receipt of payment of all fees.

Tuition fees include access to the University's library, study material and the technology assisted learning system, Blackboard.

Students who fail to pay all fees will not be permitted to attend lectures.

### 2023 - Course Fees:

Course Fee for Postgraduate Diploma in Legal Principles Compliance

- Short Learning Programme in Compliance Management - R18 550
- Short Learning Programme in Board Governance - R18 550
- Short Learning Programme in Money Laundering Control - R18 550
- Short Learning programme in Basic Interpretation Theory - R16 070

Non-Refundable UJ Registration Levy and ICT Levy - not Refundable if registration is cancelled: R1 040.00

First Minimum payment R10 000.00

Non-Refundable International Levy - SADC Students: R1 000.00

The balance of the course fees must be paid via debit order, unless the course fees

are being paid in full (via EFT). Course Fee includes the cost of the textbook.

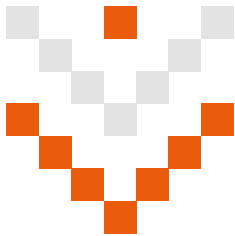
The 2024 Course Fees will be confirmed at the end of 2023. Generally a 5 - 10% increase in the course fee is applicable for each new academic year.

Students who fail to pay all fees will not be permitted to attend classes.

### General

The Centre is often approached by students who enquire about programme accreditation and who ask permission to enrol for more than one module simultaneously:

- We may consider granting exemption to a student for the Money Laundering, Compliance Management and Board Governance modules, where such similar programmes have been successfully completed by the prospective student and then only, on the strict proviso; that the duration; material content and method of assessment in the said programme; meets with our approval. Credit will NOT be granted for the last (BIT) module as it is the EXIT module of the PGD in Compliance. Prospective students should please contact Ms Busi Mpofo for queries.
- Part-time students are advised NOT to enrol for more than one module simultaneously as the modules are designed to be completed in the prescribed period and the students will find it very difficult to balance their studies and their work.



**University of Johannesburg**  
**Faculty of Law**

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