

PROMOTION OF ACCESS TO INFORMATION MANUAL

(in terms of the Promotion of Access to Information Act 2 of 2000)

[English Version March 2023]

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1. BACKGROUND

- 1.1. Access to information is a human right that is protected by Section 32 of the Constitution of South Africa. In addition to this human right, the Promotion of Access to Information Act 2 of 2000 (PAIA) protects and upholds the rights of people to access information. PAIA enhances the transparency, accountability and effectiveness of government, promotes the free flow of information and provides for processes to keep citizens informed.
- **1.2.** The University of Johannesburg (**UJ**) is committed to the general principles of good governance. These include transparency, integrity, accountability, fairness and responsibility to all its stakeholders.
- **1.3.** The purpose of this manual is to assist persons to exercise their rights under PAIA and the Constitution of South Africa.

2. FUNCTIONS AND STRUCTURES OF UJ (S14(1)(A) OF PAIA)

- **2.1.** UJ operates in terms of the Higher Education Act 101 of 1997 and the Institutional Statute of the University of Johannesburg (published in Government Gazette 42584 of 19 July 2019).
- **2.2.** The Higher Education Act provides for the following structures for UJ:
 - 2.2.1. Council, which governs UJ;
 - **2.2.2.** Senate, which is responsible for the academic and research functions of UJ and is accountable to the Council;
 - **2.2.3.** Students' Representative Council, which promotes the interests of the students of UJ; and
 - **2.2.4.** *Institutional Forum*, which advises the Council on issues of relevant institutional policy, institutional culture and senior appointments of UJ.
- **2.3.** These structures function in accordance with the provisions of the Higher Education Act and the Institutional Statute.
- 2.4. The Chancellor is the titular head of UJ. The Vice-Chancellor and Principal is responsible for the management and administration of UJ. A Management Executive Committee assists the Vice-Chancellor with the discharge of these functions. It comprises the Vice-Chancellor, Deputy Vice-Chancellors (Vice-Principals) and other academic support executives.
- **2.5.** The vision, mission and values of UJ are as follows:

2.5.1. <u>Vision</u>

An international university of choice, anchored in Africa, dynamically shaping the future.

2.5.2. Mission

Inspiring its community to transform and serve humanity through innovation and the collaborative pursuit of knowledge.

2.5.3. Values

Imagination; conversation; regeneration; ethical foundation.

3. THE MANAGEMENT STRUCTURE AND FUNCTIONS OF UJ RELATED TO THE PROVISION OF INFORMATION (\$14(1)(B) OF POPIA)

3.1. Structure for the management of information

The internal management structure of UJ for the provision of information as well as the functions of controlling officers for the implementation of PAIA are as follows:

3.1.1. Information Officer

The Vice-Chancellor and Principal, Prof LG Mpedi, is the Information Officer for UJ. He is the keeper of information and is responsible for the implementation of the provisions of the relevant legislation.

The Information Officer's contact details are as follows:

Postal: PO Box 524, Auckland Park, 2006

Physical: University of Johannesburg, Auckland Park Kingsway Campus, Cnr Kingsway and University Road, Auckland Park, 2006

3.1.2. Deputy Information Officers

The Vice-Chancellor has nominated the following persons as Deputy Information Officers for UJ and their contact details are as follows:

Name	Designation	Telephone	Email	
Prof IC Burger	Registrar	011 559 2830	icburger@uj.ac.za	
Ms N Mamorare	Chief Financial Officer	011 559 4811	nolwazim@uj.ac.za	
Prof S Khan	Deputy Vice- Chancellor: Academic	011 559 4836	skhan@uj.ac.za	
Prof S Sinha Deputy Vice- Chancellor: Research and Internationalisation		011 559 4815	ssinha@uj.ac.za	
Dr M Ralephata	Chief Operating Officer	011 559 4863	mralephata@uj.ac.za	
Mr A Pretorius General Counsel		011 559 4818	driesp@uj.ac.za	
Dr N Vukuza Senior Executive Director		011 559 4808	nvukuza@uj.ac.za	

The postal and physical addresses of all the Deputy Information Officers are as follows:

Postal: PO Box 524, Auckland Park, 2006

Physical: University of Johannesburg, Auckland Park Kingsway Campus, Cnr Kingsway and University Road, Auckland Park, 2006

3.2. Addresses, website and other contact details of University of Johannesburg campuses

Website: http://www.uj.ac.za

Physical addresses	Postal addresses
Auckland Park Bunting Road Campus	PO Box 17011
Bunting Road	Doornfontein
Auckland Park Johannesburg	2028
Auckland Park Kingsway Campus	PO Box 524
Cnr Kingsway and University Road Auckland Park	Auckland Park
Johannesburg	2006
Doornfontein Campus	PO Box 17011
37 Nind Street	Doornfontein
Doornfontein	2028
Johannesburg	
Soweto Campus	Private Bag X09
Old Potchefstroom Road Power Park	Bertsham
Soweto	2013

4. GUIDE ON HOW TO USE PAIA (\$14(1)(C) OF PAIA)

- **4.1.** The guide prepared by the Information Regulator is available on the following link: https://www.justice.gov.za/inforeg/docs/misc/PAIA-Guide-English_20210905.pdf
- **4.2.** Queries can be directed to the Information Regulator, as per the contact details below:
 - **4.2.1.** Postal Address: PO Box 31533, Braamfontein, Johannesburg, 2017
 - **4.2.2.** *Physical Address*: JD House, 27 Stiemens Street, Braamfontein, Johannesburg, 2001
 - **4.2.3.** Phone number: 010 023 5200

4.2.4. Website:

https://www.justice.gov.za/inforeg

4.2.5. Email: inforeg@justice.gov.za

5. REQUESTING INFORMATION FROM UJ (\$14(1)(D) OF PAIA)

A request for access to information must be submitted using UJ's online platform. The following applies:

- **5.1.** The request must relate to information in UJ's possession or under its control.
- **5.2.** The online request form must be completed (see **Annexure A**, **Form 2 or Form 4**). It is important for UJ to maintain a record of all access to information requests received.
- **5.3.** A request for access to a record containing the personal information of the requester is subject to an access and an administration fee. UJ shall be entitled to waive these fees at its discretion.
- **5.4.** Where information is requested, which is not the personal information of the requester, an access fee is payable.
- **5.5.** The UJ website contains a wealth of information that may support the lodging of a request for access to information.
- **5.6.** All requests for information in terms of PAIA (paragraphs 6) or internal appeals (paragraph 11.1) or other PAIA related enquiries can be submitted to the following addresses:

Postal Address	Physical Address
The Information Officer	University of Johannesburg
PO Box 524 Johannesburg	Auckland Park Kingsway Campus Cnr Kingsway and University Road Auckland Park
2006 Email: paia@ui.ac.za	Attention: The Information Officer
Emaii. <u>palatwuj.ac.za</u>	University of Johannesburg

6. PROCEDURE ON HOW TO REQUEST INFORMATION AT/FROM UJ (\$14(1)(D) OF PAIA)

6.1. External requests

A request for information can be made using the online platform or in a paperbased format.

6.1.1. Online

An online request can be made in terms of the following procedure:

- 6.1.1.1. Go to www.uj.ac.za and click on "POPIA and PAIA" located at the bottom of the UJ webpage. This will direct you to the POPIA and PAIA webpage.
- 6.1.1.2. To submit a PAIA or POPI request, click on the block titled "Submit a PAIA or POPI Request." As a first-time user, you will be required to register by clicking on the "Register" Icon/Button.
- 6.1.1.3. Use the login details created to access the platform. This will direct you to the home page. A menu with options will appear on the left-hand side. Click on the relevant form to submit a request.
- 6.1.1.4. Ensure that you provide all the information that you consider relevant to the request. Be specific and give good reasons. Do not be vague. If you have any documentation that you believe will support your request, state the availability of the documentation. Provide UJ with your email address and contact number, should UJ need to contact you to request the additional documentation.
- 6.1.1.5. Once you have completed all the required sections, scroll to the bottom of the page to where it states, "Secure Form". Tick the box indicating "I'm not a robot" and follow the instructions. On completion click on "Submit Form".
- 6.1.1.6. A window should open with the message: "Your request has been successfully submitted".
- 6.1.1.7. A notification email will be sent to you within 30 days, informing you whether your request can be granted or not.

6.1.2. Postal/physical/email

A paper-based request can be made in terms of the following procedure:

- 6.1.2.1. Print the formal request form (see **Annexure A, Form 2**)
- 6.1.2.2. Complete all required fields on the request form and provide a motivation for your request.
- 6.1.2.3. Ensure you indicate your official capacity or designation as well as your contact details to assist UJ in processing your request.
- 6.1.2.4. If you are requesting information on behalf of another person or organisation, indicate the capacity in which you are related to the individual or organisation.
- 6.1.2.5. Send the request to one of the addresses as stipulated in Paragraph 5.6.
- 6.1.2.6. UJ will reply within 30 days as to whether your request is granted or not. If the request is granted, you will be notified where to collect the information.

6.2. Fees

6.2.1. The applicable fees are as contained in the fee schedule, which can be viewed using the following link:

https://www.sahrc.org.za/home/21/files/PAIA%20Notice%20on%20fees.pdf

6.3 Internal requests

A request for information can be made using the online platform or in a paperbased format as follows:

- 6.3.1 Print the internal request form that is available on the intranet (you may use **Annexure A**).
- 6.3.2 Complete all required fields on the internal request form.
- 6.3.3 Ensure that you indicate your official capacity or designation and your contact details to assist in processing your request.
- 6.3.4 If the request is for information on behalf of somebody else, indicate the relation to the individual or organisation. Attach HoD approval if required.
- 6.3.5 Send the request to one of the addresses as stipulated in Paragraph 5.6.
- 6.3.6 UJ will reply within 30 days as to whether the request has been granted or not. If the request is granted, you will be notified where to collect the information.

7. VOLUNTARY DISCLOSURES & CATEGORIES OF RECORDS AUTOMATICALLY AVAILABLE WITHOUT A WRITTEN REQUEST (\$15 OF PAIA)

The following information is readily available without a person having to request access in terms of this Policy and is to be found on UJ's website or is available from the relevant Deputy Information Officer:

- 7.1 Work-related contact details of the Information Officer and Deputy Information Officers:
- 7.2 The access and reproduction fees payable by the requester of information;
- 7.3 The Chancellor's work-related details;
- 7.4 The composition of the Council;
- 7.5 The composition of the Senate;
- 7.6 The composition of the Management Executive Committee and the work-related contact details of its members:
- 7.7 The composition of the Student Representative Council and the campus contact details of its members;
- 7.8 The minimum qualification and requirements for new appointments to various posts in UJ;
- 7.9 The minimum admission requirements for registration in the study programmes offered by UJ;

- 7.10 Fee structures for academic and non-academic programmes;
- 7.11 Residence fees and residence admission policy;
- 7.12 UJ's employment equity report; and
- 7.13 Any information available on UJ website(s) www.uj.ac.za.

No notice has been given in terms of s15(2) of PAIA.

8. SUBJECTS AND CATEGORIES OF INFORMATION KEPT BY UJ (S14(1)(D) OF PAIA)

The fact that a subject or category of information is listed here does not necessarily mean that the records therein will be disclosed. All access is subject to the stipulations of inter alia POPIA, PAIA and other laws.

8.1 Council, Senate and MEC

Agendas, minutes of meetings and members' attendance lists.

8.2 Student matters

- 8.2.1 Student records
- 8.2.2 Academic records
- 8.2.3 Examination papers, scripts
- 8.2.4 Student bodies and associations
- 8.2.5 Rules for students
- 8.2.6 Student profiles and statistics

8.3 Administrative matters

- 8.3.1 Agendas and minutes of meetings
- 8.3.2 Admission requirements and policies
- 8.3.3 University policies and procedures not on the website
- 8.3.4 Academic regulations
- 8.3.5 Convocation membership
- 8.3.6 Membership lists of Faculty Boards and Committees
- 8.3.7 University and Faculty Rules

8.4 Employee matters

- 8.4.1 Employee records
- 8.4.2 Payroll data
- 8.4.3 Employee policies and procedures
- 8.4.4 General conditions of service

- 8.4.5 Employment Equity information 8.4.6 Employee lists 8.4.7 Union membership 8.5 Publications 8.5.1 Journals and monographs 8.5.2 University newsletters and pamphlets 8.5.3 University Calendar 8.5.4 University Prospectus and handbooks 8.6 Suppliers 8.6.1 Name and contact details 8.6.2 Identity and registration numbers 8.6.3 Banking and financial information 8.6.4 Information about products and/or services 8.6.5 Other relevant information received from the suppliers 8.7 Other 8.7.1 Financial records and statements 8.7.2 Annual Reports 8.7.3 Contracts 8.7.4 Research, scientific and technical records 8.7.5 Property and asset registers 8.7.6 Tax records 8.7.7 Insurance records 8.7.8 Internal correspondence 8.7.9 Regulatory documents 8.7.10 Records of: 8.7.10.1 Alumni 8.7.10.2 Funders
 - 8.7.10.3 Donors8.7.10.4 Collaborators8.7.10.5 Clients

9. DESCRIPTION OF SERVICES AVAILABLE TO MEMBERS OF THE PUBLIC AND HOW TO GAIN ACCESS (\$14(1)(F) OF PAIA)

A comprehensive list of services is contained in UJ's faculty prospectuses as well as on UJ's website on www.uj.ac.za.

10. PARTICIPATION IN POLICY OR DECISION-MAKING BODIES OF UJ (\$14(1)(G) OF PAIA)

Students and staff may participate in policy- and decision-making processes of UJ in accordance with the provisions of the Higher Education Act, the Institutional Statute and other internal procedures as agreed with student and staff representatives.

11. REMEDIES AVAILABLE IN TERMS OF PAIA (\$14(1)(H) OF PAIA)

- 11.1. Internal appeal in terms of section 74 to 77 of POPIA
 - **11.1.1.** Should a requester believe that a refusal by UJ to grant information is unjustifiable, or should the requester have grounds for complaint in terms of PAIA, an internal appeal may be lodged with UJ by completing Form C (see **Annexure B, Form 4**).
 - **11.1.2.** The stipulations of POPIA and specifically sections 74 to 77 shall be applicable to such an internal appeal.

Applications to court in terms of section 78 to 82 of POPIA

- **11.1.3.** Should a requester or third party not be satisfied by an internal appeal and provided the internal appeal procedures have been exhausted, a requester may approach a relevant court.
- **11.1.4.** The stipulations of POPIA and specifically sections 78 to 82 are applicable.

12. PRIVACY AND PROCESSING (POPIA)

UJ processes personal information in line with its Privacy Notice. Please refer to the Privacy Notice to see how UJ processes your personal information.

ANNEXURE A – FORM 2

FORM 2 REQUEST FOR ACCESS TO RECORD [Regulation 7]

NOTE:

TO:

The Information Officer/Deputy Information Officer

 Proof of identity must be attached by the requester.
 If requests made on behalf of another person, proof of such authorisation must be attached to this form.

(Address)				
Email address:				
Fax number:				
Mark with an "X"				
Request is made in my	own name	Request is made	e on behalf of another p	oerson.
PERSONAL INFORMA	TION			
Full Names				
Identity Number				
Capacity in which request is made (when made on behalf of another person)				
Postal Address				
Street Address				
Email Address				
Contact Numbers	Tel. (B): Cellular:		Facsimile:	
Full names of person on whose behalf request is made (if applicable)				

Identity Number												
Postal Address												
Street Address												
Email Address												
Contact Numbers	Tel. (B)							Facsimil	е			
	Cellular											
PARTICULARS OF RE Provide full particular number if that is know inadequate, please co pages must be signed	s of the re yn to you, ntinue on	eco , to	ord to enab	which	reco	ord to	be l	ocated.	(If t	the provide	ed spa	ace is
Description of record or relevant part of the												
record												
Specify if:												
Student-related												
Human Resource-related Finance-related												
Research-related												
Systems-related												
Protection Services-related												
Other												
Reference number, if available												
Any further particulars of record												

TYPE OF RECORD	
Mark the applicable box with an "X")	
Record is in written or printed format	
Record comprises virtual images (these include photographs, slides, video	
recordings, computer-generated images, sketches, etc.)	
Record consists of recorded words or information that can be reproduced in sound	
Record is held on a computer or in an electronic, or machine-readable format	
FORM OF ACCESS	
Mark the applicable box with an "X")	
Printed copy of record (including copies of any virtual images, transcriptions and	
information held on computer or in an electronic or machine-readable form)	
Nritten or printed transcription of virtual images (these include photographs,	
slides, video recordings, computer-generated images, sketches, etc.)	
Franscription of soundtrack (written or printed document)	
Copy of record on flash drive (including virtual images and soundtracks)	
Copy of record on compact disc drive (including virtual images and soundtracks)	
Copy of record saved on cloud storage server	

MANNER OF ACCESS	
(Mark the applicable box with an "X")	
Personal inspection of record at registered address of public/private body (including listening to recorded words, information that can be reproduced in sound, or	
information held on computer or in an electronic or machine-readable format)	
Postal services to postal address	
Postal services to street address	
Courier service to street address	
Facsimile of information in written or printed format (including transcriptions)	
Email of information (including soundtracks if possible)	
Cloud share/file transfer	
Preferred language	
(Note that if the record is not available in the language you prefer, access may be granted in the language in which the record is available)	

DARTICUI ARS OF RIC	UT TO DE EVER	CISED OR PROTECTED
	inadequate, ple	ease continue on a separate page and attach it to this
Indicate which right is to be exercised or		
protected		
Explain why the record requested is required		
for the exercise or protection of the		
aforementioned right		
FEES		
		fore the request will be considered.
c) The fee payable	e for access to a	unt of the access fee to be paid. a record depends on the format in which access is
		me required to search for and prepare a record. the payment of any fee, please state the reason for
Reason		
		our request has been approved or denied and, if est, if any. Please indicate your preferred manner
Postal address	Facsimile	Electronic communication

Postal address	Electronic communication (<i>Please specify)</i>

Signed at	_this	day of	20
Signature of Requester / person	n on whose l	behalf request is made	
	FOF	R OFFICIAL USE	
Reference number:			
Request received by:			
(State Rank, Name And Surname of Information Officer)			
Date received:			
Access fees:			
Deposit (if any):			
	1		
Signature of Information Office	r		

ANNEXURE B - FORM 4

FORM 4 [Regulation 9]

		Reference Numb	oer:				
PARTICULARS OF P	PUBLIC BODY						
Name of Public Body	у						
Name and Surname Officer:	of Information	1					
PARTICULARS OF C	OMPLAINAN	WHO LODGES THE INT	TERNAL APPE	AL			
Full Names							
Identity Number							
Postal Address							
Contact Numbers	Tel. (B)		Facsimile				
	Cellular						
Email Address		I					
Is the internal appea person?	l lodged on be	ehalf of another	Yes	No			
If answer is "yes", ca behalf of another pe in which appeal is lo attached.)	rson is lodged						
PARTICULARS OF P (If lodged by a third		HOSE BEHALF THE INT	ERNAL APPE	AL IS LODGED			
Full Names							
Identity Number	dentity Number						
Postal Address							
Contact Numbers	Tel. (B)		Facsimile				
	Cellular						
Email Address							

DECISION AGAINST WHICH THE INTERNAL APPEAL IS LODGED

(mark the appropriate box with an "X")

s prescribed in terms of			
a preactived in terms (of section 22 of the A	Act	
	d within which the r	equest must be dealt with	
ction 29(3) of the Act t	o refuse access in t	he form requested by the	
est for access			
is inadequate, please o	continue on a separ	ate page and attach it to t	his fo
		peal. Please indicate your	
Facsimile			
	est for access AL is inadequate, please of smust be signed.) writing of the decision preferred manne	ection 29(3) of the Act to refuse access in the est for access AL is inadequate, please continue on a separate is must be signed.) Writing of the decision on your internal approprietered manner of notification: Facsimile Electronic comi	est for access AL is inadequate, please continue on a separate page and attach it to ts must be signed.) writing of the decision on your internal appeal. Please indicate your preferred manner of notification:

FOR OFFICIAL USE OFFICIAL RECORD OF INTERNAL APPEAL

Appeal received by: (State rank, name and surname of Information Officer)							
Date received:							
Appeal accompanied be applicable, the particul submitted by the inform	ars of a	any third				Yes	
OUTCOME OF APPEAL	-						
Refusal of request for access. Confirmed?	Yes		New decis	ion not			
	No		confirmed)	iiot			
Fees (Sec 22). Confirmed?	Yes		New decis	ion not			
	No		confirmed)	1101			
Extension (Sec 26(1)). Confirmed?	Yes		New decis —(if confirmed)	ion not			
	No						
Access (Sec 29(3)). Confirmed?	Yes		New decis	ion not			
	No		confirmed)				
Request for access granted. Confirmed?	Yes		New decis	ion not			
	No		confirmed)				
Signed at		_this	day o	of	20		
Relevant Authority							